

**OFFENDER BASED TRANSACTION SYSTEM (OBTS)
TO
SUMMARY REPORTING SYSTEM (SRS)
CATEGORIZATION SPECIFICATIONS**

Office of the State Courts Administrator
Court Services

Revised:
January 25, 2005

OBTS TO SRS CATEGORIZATION SPECIFICATIONS

General

All criminal transaction records submitted to the OSCA are parsed into individual field elements in accordance with the current Criminal Justice Data Element (CJ D/E) Dictionary. Date elements retained by the OSCA will be reformatted to comply with Y2K specifications. The content of individual data elements will not be altered from the values submitted except for the aforementioned date fields. Additional fields may be derived by the OSCA from the data provided to facilitate processing, categorization and analysis. Each criminal transaction record will be processed as follows.

The following table identifies the data elements submitted via OBTS. Shaded data elements are not retained by the OSCA. This list is valid as of June 30, 2003. Description and page numbers refer to the CJ D/E Dictionary.

Element	Description	Field Name	Type	Page
<i>BASIC PHASE</i>				
1	Message Type ²	Message_Type	A1	1
2	Offender Based Transaction Number ³	Off_Trans_No	A10	2
3	Court Docket Number ^{1,6}	Court_Docket_No	A14	3
4	Sequence Number ¹	Sequence_No	A3	4
5	Number of Counts ³	No_of_Counts	A3	5
6	Court Designator ¹	Court_Designator	A1	6
7	Disposition Contributor ¹	County	A2	7
8	Name at Arrest ²	Arrest_Name	A30	8
9	Name at Disposition ²	Final_Name	A30	9
10	Sex ³	Sex	A1	10
11	Race ³	Race	A1	11
12	Birth Date ²	Birth_Date	A8	12
13	Place of Birth	Place_Of_Birth	A2	13
14	U.S. Citizen	US_Citizen	A1	17
15	Social Security Number	SSN	A9	18

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Element	Description	Field Name	Type	Page
16	Local Case Number	Local_Case_No	A12	19
17	State Identification Number	State_ID	A8	20
18	Department of Corrections Number	Dept_Corr_No	A7	21
19	FBI Number	FBI_No	A9	22
20	Arresting Agency's ORI Number	Arrest_Ag_ORI	A9	23
21	Date of Arrest ²	Init_Arrest_Dt	A8	38
22	Date of Offense ²	Offense_Dt	A8	39
23	Date Capias Issued ²	Capias_Dt	A8	40
24	Date of Rearrest ²	Rearrest_Dt	A8	41
INITIAL PHASE				
25	Charge Status ⁵	Charge_Status	A1	42
26	OBTS Number for Rearrest	OBTS_No_Rearrest	A10	43
27	Level of the Charge ²	Charge_Level	A1	44
28	Degree of the Charge ²	Charge_Degree	A1	45
29	General Offense Character	General_Offense_Char	A1	46
30	Florida Statute Violation Number ^{2,8}	FL_Statute_Viol_No	A14	48
31	Offense Activity	Offense_Activity	A1	49
32	Drug Type ³	Drug_Type	A1	51
33	Charge Code	Charge_Code	A4	53
34	Charge Qualifier - Weapons	Charge_Q_Weapon	A2	54
35	Charge Qualifier - Range	Charge_Q_Range	A1	55
36	Charge Qualifier - Amount	Charge_Q_Amount	A6	56
37	Charge Qualifier - Unit	Charge_Q_Unit	A1	57
38	Charge Description	Charge_Desc	A46	58

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Element	Description	Field Name	Type	Page
39	Date of Bond Hearing ²	Bond_Hearing_Dt	A8	59
40	Date of Adv Preliminary Hearing ²	Adv_Prelim_Hear_Dt	A8	60
PROSECUTOR PHASE				
41	Charge Status ⁵	pr_Charge_Status	A1	61
42	Level of the Charge ²	pr_Charge_Level	A1	62
43	Degree of the Charge ²	pr_Charge_Degree	A1	63
44	General Offense Character ²	pr_Gen_Off_Char	A1	64
45	Florida Statute Violation Number ^{2,8}	pr_FLST_Viol_No	A14	66
46	Offense Activity	pr_Offense_Act	A1	67
47	Drug Type ³	pr_Drug_Type	A1	69
48	Charge Code	pr_Charge_Code	A4	71
49	Charge Qualifier - Weapons	pr_CQ_Weapons	A2	72
50	Charge Qualifier - Range	pr_CQ_Range	A1	73
51	Charge Qualifier - Amount	pr_CQ_Amount	A6	74
52	Charge Qualifier - Unit	pr_CQ_Unit	A1	75
53	Charge Description	pr_Charge_Desc	A46	76
54	Prosecutor Filing Date ²	pr_Filing_Dt	A8	77
55	Prosecutor Final Decision Date ²	pr_Final_Decision_Dt	A8	78
56	Prosecutor Final Action ²	pr_Final_Action	A1	79
57	Type of Counsel ³	pr_Counsel_Type	A1	81
COURT PHASE				
58	Charge Status ⁵	ct_Charge_Status	A1	82
59	Level of the Charge ²	ct_Charge_Level	A1	83
60	Degree of the Charge ²	ct_Charge_Degree	A1	84

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Element	Description	Field Name	Type	Page
61	General Offense Character ²	ct_Gen_Off_Char	A1	85
62	Florida Statute Violation Number ^{2,8}	ct_FLST_Viol_No	A14	87
63	Offense Activity	ct_Offense_Act	A1	88
64	Drug Type ³	ct_Drug_Type	A1	90
65	Charge Code	ct_Charge_Code	A4	92
66	Charge Qualifier - Weapons	ct_CQ_Weapons	A2	93
67	Charge Qualifier - Range	ct_CQ_Range	A1	94
68	Charge Qualifier - Amount	ct_CQ_Amount	A6	95
69	Charge Qualifier - Unit	ct_CQ_Unit	A1	96
70	Charge Description	ct_Charge_Desc	A46	97
71	Court Decision Date ²	ct_Decision_Dt	A8	98
72	Court Action Taken ²	ct_Action_Taken	A1	99
73	Defense Attorney at Sentencing	ct_Def_Att_Sent	A30	101
74	Prosecuting Attorney at Sentencing	ct_Proc_Att_Sent	A30	102
75	Trial Type ²	ct_Trial_Type	A1	103
76	Defendant's Final Plea ²	ct_Final_Plea	A1	104
SENTENCE PHASE				
77	Date Sentence Imposed ³	st_Imposed_Dt	A8	105
78	Sentence Effective Date	st_Effective_Dt	A8	106
79	Judge at Sentencing	st_Judge	A30	107
80	Jurisdiction Retained	st_Juris_Retained	A4	108
81	Sentence Status	st_Status	A1	109
82	Docket No. Relating to Sentence	st_CDN_Seq	A17	110
83	Length of Confinement ³	st_Confine	A14	112

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Element	Description	Field Name	Type	Page
84	Type of Confinement ³	st_Confine_Type	A1	114
85	Length of Confinement Susp ³	st_Confine_Len_Susp	A6	115
86	Credit Time Served ³	st_Credit_Time	A4	116
87	Length of Probation ³	st_Prob_Len	A7	117
88	Length of Community Control ³	st_Comm_Len	A6	118
89	Length of Time License Suspended	st_Dr_Lic_Susp	A6	119
90	Fine ³	st_Fine	A9	120
91	Court Costs ³	st_Court_Cost	A9	121
92	Restitution ³	st_Restitution	A9	122
93	Sentence Provisions ³	st_Sent_Prov	A5	123
94	Special Sentence Provisions ³	st_Sp_Sent_Prov	A7	126
95	Drug Trafficking Term	st_Drug_Traffic	A7	129
96	Cost of Supervision Rate	st_Super_Rate	A2	130
97	County of Supervision	st_County_Super	A2	131
POST SENTENCE PHASE				
98	Date Charge Reopened ²	pst_Ch_Reopen_Dt	A8	132
99	Reason Charge Reopened ²	pst_Ch_Reopen_Reason	A1	133
100	Date Reopened Charge Closed ²	pst_Ch_Reopen_Closed_Dt	A8	134
101	Date of Notice to Appeal ²	pst_Appeal_Notice_Dt	A8	135
ADDITIONAL ELEMENTS				
102	Uniform Case Number ¹	Court Docket Number	A20	notes 4, 5

Notes:

1. Critical fields. A record with errors in these fields cannot be entered into the OBTS/SRS system
2. Serious fields. Errors in these fields will likely affect the aggregation of the record into SRS such as preventing the record from being counted at all, causing the record to be assigned to the wrong SRS case type or disposition type etc.
3. Minor fields. Errors in these field affect the quality of data used by the Court for judicial administration

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- but do not directly affect the calculation of SRS statistics.
4. Currently, the OSCA OBTS/SRS system validates the above fields using only the specifications provided in the Criminal Justice Data Element Dictionary (July 1997) modified to include Uniform Case Number elements (OSCA memos 2000, 2001)
 5. Phase status codes will be retained as of July 1, 2003. These will be assigned to category 2, Serious.
 6. Upon reporting of the Uniform Case Number, existing local case numbers will be retained for historical purposes in a derived field.
 7. Elements in grey are not currently retained by the OSCA (although they may be required by other agencies). However, these elements may be considered for future retention based upon the needs of the Court.
 8. Statute fields provided in 'a3a4a4' format are further parsed into chapter, section and subsection fields

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The following field elements are derived by the OSCA in order to track, categorize and process the criminal transaction record.

<i>Element</i>	<i>Field Name</i>	<i>Description</i>
103	Clerk_Date	This date identifies the first date the transaction becomes of interest to the OSCA and will ultimately contain the document stamp date that initiates the record locally. Implemented to conform to CJ D/E Dictionary (Draft 11/2002)
104	Mod_Add_Code	A five character meta code that describes the final processing state of the transaction.
105	SRS_Filing_Dt	The date in which the filing event is counted.
106	SRS_Rank_Filed	A composite rank used to determine the most serious transaction in a counting block which is then counted
107	SRS_Col_Filed	The SRS category in which the filing event should be counted
108	SRS_Row_Filed	The disposition category in which the transaction should be counted. Filing events default to disposition row '01'.
109	SRS_Dispo_Dt	The date in which the disposition event is counted
110	SRS_Rank_Dispo	A composite rank used to determine the most serious transaction in a counting block which is then counted
111	SRS_Col_Dispo	The SRS category in which the disposition event is counted
112	SRS_Row_Dispo	The disposition category in which the transaction should be counted.
113	Proc_Date	The date this transaction was last entered/modified
114	SRS_All_Dispo	Unused. Discontinued 06/2003
115	OBTS_Reject_Code	Unused. Discontinued 06/2003
116	OBTS_File	The name of the OBTS submission containing the criminal transaction
117	Docket_No_Old	Contains the local case number identifying the criminal transaction. This number is provided in field three (Court_Docket_No) of the OBTS record. The Court_Docket_Number is replaced by the Uniform Case Number appended to the end of the established 750 character OBTS record.

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<i>Element</i>	<i>Field Name</i>	<i>Description</i>
118	Rec_Add_Date	The date this transaction was added to the OSCA system
119	Mod_Rec_Count	Contains the number of times the transaction was processed into the system

Fields 30 (FL_Statute_Viol_No), 45 (pr_FLST_Viol_No) , and 62 (ct_FLST_Viol_No) are parsed into chapter, section and subsection fields. When reassembled, statute numbers are presented in dot notation as (chap.sect.subsect). This notation allows the OSCA to treat statutes of arbitrary length.

<i>Element</i>	<i>Field Name</i>	<i>Description</i>
120	in_FLST_Chap	Chapter number; 4 character left padded with zeros
121	in_FLST_Sect	Section number; right padded with spaces
122	in_FLST_Subsect	Subsection identifier; right padded with spaces
123	pr_FLST_Chap	Chapter number; 4 character left padded with zeros
124	pr_FLST_Sect	Section number; right padded with spaces
125	pr_FLST_Subsect	Subsection identifier; right padded with spaces
126	ct_FLST_Chap	Chapter number; 4 character left padded with zeros
127	ct_FLST_Sect	Section number; right padded with spaces
128	ct_FLST_Subsect	Subsection identifier; right padded with spaces

All date fields retained are converted to YYYYMMDD format to resolve potential Y2K issues.

The following discussion clarifies the additional fields derived in items 2 and 3 above. Each transaction record processed into the OSCA OB/SRS system should have these fields added to the data elements retained from the OBTS record.

Create data element #103 (Clerk_Dt) to identify the first date the transaction becomes of interest to the OSCA. This field is seeded from the earliest valid date of the four data

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elements #21 (Date of Arrest/Notice To Appear/Summons Served), #54(prosecutor filing date), #71 (court decision date) and #103 (Clerk_Dt). This field allows the Date of Arrest field to change as needed by FDLE while preserving the initiating information needed by the OSCA. It is introduced in anticipation of changes to the Criminal Justice Data Element Dictionary (Draft Nov 2002). Under this draft dictionary, clerk date will be reported by the clerk directly and not derived as described above.

Create data element #104 (Mod_Add_Code) to identify the record as new or a modification of an existing record. Data element #104 (Mod_Add_Code) is a five character meta code that describes the processing of the transaction. Subfield 1 identifies whether transaction is an addition "A" or a modification "M". Subfield 2 identifies the phase used for classification of the filing event ("I" - initial, "P" - prosecutor or "C" - Court). Subfield 3 identifies whether the scoring attempt was successful. ("S" - scored, "U" - unable to score, "N" - scoring not required) . Subfields 4 & 5 provide the same information as subfields 2 & 3 for the disposition event.

NOTE: The Mod_Add_Code field was originally a three character alpha code containing 'Mod' or 'Add' as appropriate. In 2001, the content was expanded to report general state info. about the record that would be difficult to determine after a record was processed into the table. The 1st subfield contained 'A' for new record or an 'M' for modified record. The 2nd subfield contained an 'S' if a record was successfully classified for filings, an 'N' if the case legitimately does not need to be counted or a 'U', if the record should be classified but could not be. The 3rd subfield contained similar scoring information for dispositions. In Spring 2003, the Mod_Add_Code was expanded to the above defined five character meta code.

Create data element #105 (SRS_Filing_Dt) to identify the SRS date of filing. Data element #105 (SRS_Filing_Dt) is alphanumeric of length 8. Initialized to '88888888'

Create data element #106 (SRS_Rank_Filed) to identify the level, degree, and SRS casetype of a circuit criminal record at the time of filing. Data element #106 (SRS_Rank_Filed) is alphanumeric of length 3 with 3 subfields. The first subfield should identify the level of the charge at filing, the second subfield should identify the degree of the charge at filing, and the third subfield should identify the SRS filing casetype of the charge. This field provides for comparisons between charges and is initialized to 'ZZZ'

Create data element #107 (SRS_Col_Filed) to identify the SRS filing column. Data element #107 (SRS_Col_Filed) is alphanumeric of length 2. Initialized to '99'.

Create data element #108 (SRS_Row_Filed) to identify the SRS filing row. Data element #108 (SRS_Row_Filed) is alphanumeric of length 2. Initialized to '99'.

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Create data element #109 (SRS_Dispo_Dt) to identify the SRS date of disposition. Data element #109 (SRS_Dispo_Dt) is alphanumeric of length 8. Initialized to '88888888'

Create data element #110 (SRS_Rank_Dispo) to identify the SRS disposition category, level, degree, and SRS filing casetype of a circuit criminal record at the time of disposition. Data element #110 (SRS_Rank_Dispo) is alphanumeric of length 4 with 4 subfields. The first subfield should identify the SRS disposition category, the second subfield should identify the level of the charge at disposition, the third subfield should identify the degree of the charge at disposition, and the fourth subfield should identify the SRS casetype of the charge at disposition. Initialized to 'ZZZZ'

Create data element #111 (SRS_Col_Dispo) to identify the SRS disposition column. Data element #111 (SRS_Col_Dispo) is alphanumeric of length 2. Initialized to '99'.

Create data element #112 (SRS_Row_Dispo) to identify the SRS disposition row. Data element #112 (SRS_Row_Dispo) is alphanumeric of length 2. Initialized to '99'.

Create data element #113 (Proc_Dt) to identify the process date of the transaction. Data element #111 (Proc_Dt) is alphanumeric of length 8. Initialized to '88888888'.

Create data element #116 (OBTS_File). This field is alphanumeric of variable length up to a max of 32 chars. This field should contain the filename (up to the extension) of the file submitted by the county which added or modified the record. Initialized to NULL.

Create data element #117 (Docket_No_Old). This 20 character alphanumeric field is intended to contains the local case number identifying the criminal transaction. This number is provided in field #3 (Court_Docket_No) of the OBTS record. The Court_Docket_Number is replaced by the Uniform Case Number appended to the end of the established 750 character OBTS record. Initialized to NULL.

Create data element #118 (Rec_Add_Date) to capture the date this transaction was first entered into the OSCA OB/SRS system.

Create data element #119 (Mod_Rec_Count) to track the number of times a transaction with a particular case record identifier is processed into the OB/SRS system.

Create data element #120 (in_FLST_Chap) to contain the Florida statute chapter identifier reported in field #30 (initial phase Florida Statute Violation Number) subfield 1. This field is 4 character alphabetic left padded with zeros.

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Create data element #121 (in_FLST_Sect) to contain the Florida statute section number reported in field #30 (initial phase Florida Statute Violation Number) subfield 2. This field is 8 character alphabetic right padded with spaces.

Create data element #122 (in_FLST_Subsect) to contain the Florida statute subsection identifier reported in field #30 (initial phase Florida Statute Violation Number) subfield 3. This field is 13 character alphabetic right padded with spaces.

Create data element #123 (pr_FLST_Chap) to contain the Florida statute chapter identifier reported in field #30 (prosecutor phase Florida Statute Violation Number) subfield 1. This field is 4 character alphabetic left padded with zeros.

Create data element #124 (pr_FLST_Sect) to contain the Florida statute section number reported in field #30 (prosecutor phase Florida Statute Violation Number) subfield 2. This field is 8 character alphabetic right padded with spaces.

Create data element #125 (pr_FLST_Subsect) to contain the Florida statute subsection identifier reported in field #30 (prosecutor phase Florida Statute Violation Number) subfield 3. This field is 13 character alphabetic right padded with spaces.

Create data element #126 (ct_FLST_Chap) to contain the Florida statute chapter identifier reported in field #30 (court phase Florida Statute Violation Number) subfield 1. This field is 4 character alphabetic left padded with zeros.

Create data element #127 (ct_FLST_Sect) to contain the Florida statute section number reported in field #30 (court phase Florida Statute Violation Number) subfield 2. This field is 8 character alphabetic right padded with spaces.

Create data element #128 (ct_FLST_Subsect) to contain the Florida statute subsection identifier reported in field #30 (court phase Florida Statute Violation Number) subfield 3. This field is 13 character alphabetic right padded with spaces.

Miscellaneous Notes:

Blank Phase:

A phase is considered blank for validation purposes if, the applicable phase date, statute field and phase status codes are blank, default or not applicable. A phase status code of "N" is not, in and of itself, sufficient to designate a phase as blank. The presence of data in other fields will not change the determination.

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The term phase date refers to the date field that is used to place the charge in a given period. For example, the initial phase date refers to the Clerk_Dt or Init_Arrest_Dt as appropriate, prosecutor phase date refers to pr_Filing_Dt or pr_Final_Decision_Dt and court phase refers to ct_Decision_Dt.

Phase Date Determination:

Court phase date	Set equal to ct_Decision_Dt
Prosecutor phase date	Set to pr_Filing_Dt unless pr_Filing_Dt is blank and pr_Final_Action is L, J or P. In that case, use pr_Final_Decision_Dt.
Initial phase date	Set equal to lesser of Clerk_Dt and Init_Arrest_Dt